

**Jenkens & Gilchrist**  
A PROFESSIONAL CORPORATION



1445 ROSS AVENUE  
SUITE 3200  
DALLAS, TEXAS 75202  
  
(214) 855-4500  
TELECOPIER (214) 855-4300  
  
[www.jenkens.com](http://www.jenkens.com)

AUSTIN, TEXAS  
(512) 499-3800  
  
HOUSTON, TEXAS  
(713) 951-3300  
  
LOS ANGELES, CALIFORNIA  
(310) 820-8800  
  
SAN ANTONIO, TEXAS  
(210) 246-5000  
  
WASHINGTON, D.C.  
(202) 326-1500  
  
AFFILIATE OFFICE  
CHICAGO, ILLINOIS  
(312) 425-3900

Gary B. Solomon  
(214) 855-4188  
gsolomon@jenkens.com

Commissioner for Patents  
Washington DC 20231

01/17/2002 RBERME 00000049 09917396

02 FC.115 110.00 -OP

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited postage paid with the United States Postal Service postage paid as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231  
on November 30, 2001

*Carol Marsteller*  
Signature: Carol Marsteller

Re: Applicant(s): Hwei-Min Lu et al.  
Serial No. 09/917,396  
Filing Date: July 26, 2001  
For: 3-D Kinematics and Tolerance Variation Analysis  
Docket No.: 43840-29USPT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

1. Response to Notice of Missing Parts of Application
2. Copy of Notice of Missing Parts of Application
3. Oath or Declaration (signed)
4. Check in the amount of \$240 (\$130 missing parts surcharge and \$110 one-month extension)
5. Acknowledgment Postcard

Please address all communications related to this to:

Gary B. Solomon  
Jenkens & Gilchrist, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799

In the event there is an under or over payment, please debit or credit our Deposit Account

#10-0447

Adjustment dates 05/08/2002 EEKUDAY1  
01/17/2002 RBERME 00000049 09917396  
02 FC:115 -110.00 -OP

Rechn. Ref.: 05/08/2002 EEKUDAY1 0011191000  
DAK:100447 Name/Number: 09917396  
FC: 704 \$175.00:CR

Respectfully submitted,

*Gary B. Solomon*  
Gary B. Solomon  
Registration No. 44,347

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,396	07/26/2001	Hwei-Min Lu	43840-29USPT

CONFIRMATION NO. 9788

## FORMALITIES LETTER



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JENKENS & GILCHRIST  
 Suite 3200  
 1445 Ross Avenue  
 Dallas, TX 75202-2799

01/17/2002 FILERHE 00000049 09917396

01 FC:105

130.00 0P

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/13/2002 TV0111 00000009 100447 09917396

01 FC:101 740.00 CH

## FILED UNDER 37 CFR 1.53(b)

Adjustment date: 05/01/2002 EEKUBAY1

02/13/2002 TV0111 00000009 100447 09917396

01 FC:101 740.00 CR

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(e)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Adjustment date: 05/01/2002 EEKUBAY1

01/17/2002 FILERHE 00000049 09917396

01 FC:105 130.00 0P

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A copy of this notice **MUST** be returned with the reply.

01 FC:20

*Alma D.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE